

A Call-by-Need Lambda Calculus with Scoped Work Decorations

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Reasoning on program transformations, like

$$\text{map } f \text{ (map } g \text{ } xs) \rightarrow \text{map } (\lambda x.f \text{ (} g \text{ } x)) \text{ } xs$$

Are transformations **optimizations** / **improvements**?

- w.r.t. time consumption, i.e. the **number of computation steps**
- in a core language of **Haskell**:
 - extended polymorphically typed lambda calculus
 - with **call-by-need** evaluation

[Moran & Sands, POPL'99]:

Improvement theory in an untyped call-by-need lambda calculus

- counting based on an abstract machine semantics
- **tick-algebra** for modular reasoning on improvements
- no concrete technique for list induction proofs

[Hackett & Hutton, ICFP'14]:

Improvement for worker-wrapper-transformations

- based on Moran & Sands' tick algebra
- argue for the requirement of a typed language

[Schmidt-Schauß & S., PPDP'15, IFL'15]:

Improvement in call-by-need lambda calculi: untyped LR, typed LRP

- counting essential reduction steps of a small-step semantics
- core language with seq-operator
- **proving list-laws** being improvements, **using work-decorations**

Example:

```
s1 := letrec xs=0 : xs in xs
s2 := letrec y=((λx.x) 0), xs=y : y : xs in xs
s3 := letrec y=((λx.x) 0), xs=y : ((λx.x) y) : xs in xs
s4 := letrec xs=λy.y : (xs y) in (xs 0)
```

Contextual equivalence: $s \sim_c t$ iff \forall contexts $C : C[s] \downarrow \iff C[t] \downarrow$

Prove $s_i \sim_c s_j$ for all $i, j \in \{1, 2, 3, 4\}$

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- For list-expressions r_1, r_2 define:

$r_1 \mathbf{R} r_2$ iff $r_1 \sim_c (h_1 : t_1)$, $r_2 \sim_c (h_2 : t_2)$
 such that $h_1 \sim_c h_2$ and $t_1 \mathbf{R} t_2$

- Principle of co-induction: $r_1 \text{gfp}(\mathbf{R}) r_2 \implies r_1 \sim_c r_2$

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further processing with the tails indeed shows $s_i \sim_c s_j$

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In [Schmidt-Schauß & S., IFL 2015]:

- **analogous reasoning**,
- but w.r.t. **improvement** and **cost-equivalence**

Improvement and Cost-Equivalence

Improvement:

$s \preceq t$ iff $s \sim_c t$ and \forall closing contexts $C : \text{rln}(C[s]) \leq \text{rln}(C[t])$

where $\text{rln}(\cdot)$ is the reduction length, counting essential reduction steps

Cost-Equivalence:

$s \approx t$ iff $s \preceq t$ and $t \preceq s$

Equational reasoning w.r.t. cost equivalence:

$$s_1 := \text{letrec } xs=0 : xs \text{ in } xs$$
$$s_2 := \text{letrec } y=((\lambda x.x) 0), xs=y : y : xs \text{ in } xs$$
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Work-decorations to keep track of rln-work:

- $[n]$:= n essential reduction steps

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$$\begin{aligned} s_1 &\approx 0 : 0 : (\text{letrec } xs=0 : xs \text{ in } xs) \\ s_2 &\approx 0^{[a \mapsto 1]} : 0^{[a \mapsto 1]} : (\text{letrec } xs=0^{[a \mapsto 1]} : 0^{[a \mapsto 1]} : xs \text{ in } xs) \\ s_3 &\approx 0^{[a \mapsto 1]} : (0^{[a \mapsto 1, b \mapsto 1]}) : (\text{letrec } xs=0^{[a \mapsto 1]} : 0^{[a \mapsto 1, b \mapsto 1]} : xs \text{ in } xs) \\ s_4 &\approx \text{letrec } xs=\lambda y.y : (xs \ y) \text{ in } (0 : (0 : (xs \ 0)))^{[1]}^{[1]} \end{aligned}$$

Work-decorations to keep track of rln-work:

- $[n]$:= n essential reduction steps
- $[a \mapsto n]$:= n shared essential reduction steps
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further processing shows $s_1 \preceq s_2 \preceq s_3 \preceq s_4$

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Goal of current paper:

Define and analyze the exact semantics of $[a \mapsto n]$

- Exact semantics of (shared) work-decorations $^{[a \mapsto n]}$ (and $^{[n]}$)
- Prove **computation rules**, like $S[s^{[a \mapsto n]}, t^{[a \mapsto n]}] \preceq S[s, t]^{[n]}$
- The notation $^{[a \mapsto n]}$ is **ambiguous**, e.g. in $\text{letrec } x = \lambda y. s^{[a \mapsto n]} \text{ in } C[x]$ when inlining the binding for x :

Possibilities:

- $\text{letrec } x = \lambda y. s^{[a \mapsto n]} \text{ in } C[\lambda y. s^{[a \mapsto n]}]$
- $\text{letrec } x = \lambda y. s^{[a \mapsto n]} \text{ in } C[\lambda y. s^{[b \mapsto n]}]$ (where b is fresh)

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Instead of $^{[a \mapsto n]}$ we use a **binding** $a := n$ and a **label** $^{[a]}$

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- Examples:
 - $\text{letrec } a := n, x = \lambda y. s^{[a]} \text{ in } C[x]$
 - $\text{letrec } x = \lambda y. (\text{letrec } a := n \text{ in } s^{[a]}) \text{ in } C[x]$

LRPw extends LRP by **work decorations**

Types:

$$\begin{aligned} \tau \in Typ & ::= A \mid (\tau_1 \rightarrow \tau_2) \mid K \tau_1 \dots \tau_{\text{ar}(K)} \\ \rho \in PTyp & ::= \tau \mid \lambda A. \rho \end{aligned}$$

Expressions:

$$\begin{aligned} u \in PExpr_F & ::= \Lambda A_1 \dots \Lambda A_k. \lambda x. s \\ s, t \in Expr_F & ::= u \mid x :: \rho \mid (s \tau) \mid (s t) \mid (\text{seq } s t) \\ & \quad \mid (\text{letrec } bind_1, \dots, bind_m \text{ in } t) \\ & \quad \mid (c_{K,i} :: \tau s_1 \dots s_{\text{ar}(c_{K,i})}) \\ & \quad \mid (\text{case}_K s \text{ of } (pat_{K,1} \rightarrow t_1) \dots (pat_{K,|D_K|} \rightarrow t_{|D_K|})) \\ & \quad \mid s^{[a]}, \text{ where } a \text{ is a label} \\ pat_{K,i} & ::= (c_{K,i} :: \tau x_1 :: \tau_1 \dots x_{\text{ar}(c_{K,i})} :: \tau_{\text{ar}(c_{K,i})}) \\ bind_i & ::= x_i :: \rho_i = s_i \mid a := n, \text{ where } n \in \mathbb{N} \text{ and } a \text{ is a label} \end{aligned}$$

Normal Order Reduction $\xrightarrow{\text{LRPw}}$

- Small-step reduction relation
- Call-by-need strategy using reduction contexts R
- Several reduction rules, e.g.

(lbeta) $((\lambda x.s) t) \rightarrow \text{letrec } x = t \text{ in } s$

(cp-in) $\text{letrec } x_1 = (\lambda y.t), \{x_i = x_{i-1}\}_{i=2}^m, Env \text{ in } C[x_m]$
 $\rightarrow \text{letrec } x_1 = (\lambda y.t), \{x_i = x_{i-1}\}_{i=2}^m, Env \text{ in } C[(\lambda y.t)]$

(seq-c) $(\text{seq } v t) \rightarrow t$ if v is a value

(case-c) $\text{case}_K (c t_1 \dots t_n) \dots ((c y_1 \dots y_n) \rightarrow s) \dots$
 $\rightarrow \text{letrec } \{y_i = t_i\}_{i=1}^n \text{ in } s$

...

(letwn) $\text{letrec } \dots a := n \dots C[(s^a)] \rightarrow \text{letrec } \dots a := n-1 \dots C[s^a]$

(letw0) $\text{letrec } \dots a := 0 \dots C[(s^a)] \rightarrow \text{letrec } \dots a := 0 \dots C[s]$

Convergence

A **weak head normal form** (WHNF) is

- a value: $\lambda x.s$, $\Lambda A.u$, or $c \vec{s}$.
- `letrec Env in v`, where v is a value
- `letrec $x_1 = c \vec{s}, \{x_i = x_{i-1}\}_{i=2}^m, Env$ in x_m`

Convergence:

- $s \downarrow t$ iff $s \xrightarrow{\text{LRPw},*} t \wedge t$ is a WHNF
- $s \downarrow$ iff $\exists t : s \downarrow t$.

Contextual Equivalence

For $s, t :: \rho$, $s \sim_c t$ iff for all contexts $C[\cdot :: \rho]$: $C[s] \downarrow \iff C[t] \downarrow$

Program transformation P is correct iff $(s \xrightarrow{P} t \implies s \sim_c t)$

Counting Essential Reductions

For $\{\text{lbeta}, \text{letwn}\} = A_0 \subseteq A \subseteq \mathfrak{A} = \{\text{lbeta}, \text{case}, \text{seq}, \text{letwn}\}$:

$$\text{rln}_A(t) := \begin{cases} \text{number of } A\text{-reductions in } t \xrightarrow{\text{LRPw},*} t', & \text{if } t \downarrow t' \\ \infty, & \text{otherwise} \end{cases}$$

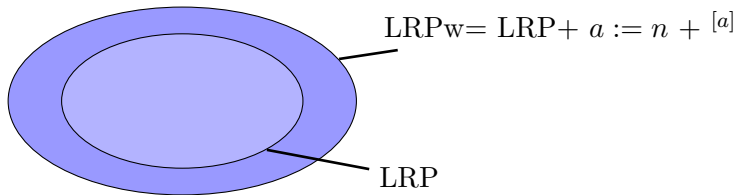
Improvement Relation

For $s, t :: \rho$, s **improves** t (written $s \preceq_A t$) iff

- $s \sim_c t$, and
- for all $C[\cdot :: \rho]$ s.t. $C[s], C[t]$ are closed: $\text{rln}_A(C[s]) \leq \text{rln}_A(C[t])$.

We write $s \approx_A t \iff s \preceq_A t \wedge t \preceq_A s$ (**cost-equivalence**)

Program transformation P is an **improvement** iff $s \xrightarrow{P} t \implies t \preceq_A s$



Questions:

- Is there a change w.r.t. contextual equivalence?
- Is there a change w.r.t. improvement and cost-equivalence?

No, since:

Theorem

The embedding of LRP into LRP_w w.r.t. \sim_c is conservative and the calculi LRP and LRP_w are isomorphic: The isomorphism is $[s]_{\sim_c, \text{LRP}_w} = [\text{rmw}(s)]_{\sim_c, \text{LRP}}$ where $\text{rmw}(\cdot)$ removes the decorations.

Q2: Is there a change w.r.t. cost-equivalence?

No, if (seq)-reductions do not count for rln:

Theorem

Let $A_0 \subseteq A \subset \mathfrak{A}$, such that $seq \notin A$.

Then LRP and LRP_w are isomorphic w.r.t. \approx_A .

Encode letrec $a := n, Env$ in s as

letrec $x_a := id^{n+1}, Env[seq\ x_a\ t/t^{[a]}]$ in $s[seq\ x_a\ t/t^{[a]}]$

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Yes, for the isomorphism property if $A = \mathfrak{A}$

Proposition

Let $A = \mathfrak{A}$ and let c_1 and c_2 be different constants. Then

$$\text{letrec } a := 1 \text{ in (Pair } c_1^{[a]} c_2^{[a]})$$

is **not equivalent w.r.t.** \approx_A to any LRP-expression.

Q2: Is there a change w.r.t. cost-equivalence?

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Let $A_0 \subseteq A \subset \mathfrak{A}$, such that $seq \notin A$.

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Encode `letrec a := n, Env` in s as

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Open for conservativity: $s \approx_{\mathfrak{A}, \text{LRP}} t \implies s \approx_{\mathfrak{A}, \text{LRP}_w} t?$

Theorem

Let $A_0 \subseteq A \subseteq \mathfrak{A}$.

- If $s \xrightarrow{\text{LRP}_{w,a}} t$ where $a \in A$ then $s \approx_A t^{[1]}$
- If $s \xrightarrow{C,a} t$ where $a \in A$ then $t \preceq_A s$
- If $s \xrightarrow{C,a} t$, a is (III), (cp), (letw0), (cp_x), (cpc_x), (abs), (abse), (lwas), (ucp), (gc), (gcW), then $s \approx_A t$

(III) $\text{letrec Env}_1 \text{ in letrec Env}_2 \text{ in } s \rightarrow \text{letrec Env}_1, \text{Env}_2 \text{ in } s$

(III) $\text{letrec Env}_1, x=(\text{letrec Env}_2 \text{ in } s) \text{ in } t \rightarrow \text{letrec Env}_1, \text{Env}_2, x=s \text{ in } t$

(III) $(\text{letrec Env in } s) t \rightarrow \text{letrec Env in } (s t)$

(gc) $\text{letrec } \{x_i=s_i\}_{i=1}^n, \text{Env in } t \rightarrow \text{letrec Env in } t$, if $\forall i : x_i \notin FV(t, \text{Env})$

(gc) $\text{letrec } \{x_i=s_i\}_{i=1}^n \text{ in } t \rightarrow t$, if for all $i : x_i \notin FV(t)$

(gcW) $\text{letrec } \{a_i := n_i\}_{i=1}^m, \text{Env in } s \rightarrow \text{letrec Env in } s$, if all a_i do not occur in Env, s

(gcW) $\text{letrec } \{a_i := n_i\}_{i=1}^m \text{ in } s \rightarrow s$, if a_1, \dots, a_m do not occur in s

(cp_x) $\text{letrec } x=y, \dots C[x] \dots \rightarrow \text{letrec } x=y, \dots C[y] \dots$

(cpc_x) $\text{letrec } x=(ct_1 \dots t_n) \dots C[x] \dots \rightarrow \text{letrec } x=(cy_1 \dots y_n), \{y_i=t_i\}_{i=1}^n \dots C[cy_1 \dots y_n] \dots$

...

Theorem

Let $A_0 \subseteq A \subseteq \mathfrak{A}$ and S, T be surface contexts

- 1 $(s^{[n]})^{[m]} \approx_A s^{[n+m]}$
- 2 $\text{letrec } a := n \text{ in } (s^{[a]})^{[a]} \approx_A \text{letrec } a := n \text{ in } s^{[a]}$
- 3 $S[\text{letrec } a := n \text{ in } T[s^{[a]}]] \preceq_A \text{letrec } a := n \text{ in } S[T[s]]^{[a]}$
- 4 $S[\text{letrec } a := n \text{ in } T[s^{[a]}]] \approx_A \text{letrec } a := n \text{ in } S[T[s]]^{[a]}$,
if $S[T]$ is strict.
- 5 $\text{letrec } a := n, b := m \text{ in } (s^{[a]})^{[b]} \approx_A \text{letrec } a := n, b := m \text{ in } (s^{[b]})^{[a]}$
- 6 $\text{letrec } a := n \text{ in } S[s_1^{[a]}, \dots, s_n^{[a]}] \preceq_A \text{letrec } a := n \text{ in } S[s_1, \dots, s_n]^{[a]}$.
- 7 $\text{letrec } a := n \text{ in } S[s_1^{[a]}, \dots, s_n^{[a]}] \approx_A \text{letrec } a := n \text{ in } S[s_1, \dots, s_n]^{[a]}$,
if some hole in S is in strict position

- LRP_w = Call-by-need calculus with **scoped work-decorations**
- LRP_w **not obviously encodable** in LRP
- Several **improvements and cost-equivalences** hold in LRP_w
- Expected **computation rules** hold in LRP_w

- Apply the results to prove **further improvements and cost-equivalences**
- **Automation** of **program optimization**
- **Automation** of **proving** improvement
- Space-improvements